<u>AMENDMENTS TO THE CLAIMS</u>

The following listing of claims will replace all prior versions and listings of claims in the application.

LISTING OF CLAIMS

- 1.-11. (Cancelled)
- 12. (New) A disk apparatus comprising:

a stationary frame having an opening for inserting or ejecting a disk-shaped recording medium,

a disk guide which is rotatably provided in the proximity of said opening of said stationary frame, and which leads the insertion and ejection of said disk-shaped recording medium, and

a floating unit which is held in a floating state through elastic component in said stationary frame, and which has a function to perform recording and/or reproducing on said disk-shaped recording medium, wherein

said floating unit includes a disk-carrying member having a roller arm which rotates itself while pressing said disk-shaped recording medium onto said disk guide, so as to carry said disk-shaped recording medium to a desired position, and

when the completion of the ejection of said disk-shaped recording medium is detected, a control slider is moved to allow a projection formed on said control slider to contact a projection formed on said stationary frame, to thereby engage a part of said roller arm with a part of said disk guide.

13. (New) A disk apparatus comprising:

a stationary frame having an opening for inserting or ejecting a disk-shaped recording medium,

a disk guide which is rotatably provided in the proximity of said opening of said stationary frame, and which leads the insertion and ejection of said disk-shaped recording medium, and

a floating unit which is held in a floating state through elastic component in said stationary frame, and which has a function to perform recording and/or reproducing on said disk-shaped recording medium, wherein

said floating unit includes a disk-carrying member having a roller arm which rotates itself while pressing said disk-shaped recording medium onto said disk guide, so as to carry said disk-shaped recording medium to a desired position, and

said roller arm is held obliquely to a plane having said opening for disk insertion and ejection on said stationary frame, so that said disk-shaped recording medium inserted into said opening for said disk insertion and ejection is carried inclining to said plane.

14. (New) A disk apparatus comprising:

a stationary frame having an opening for inserting or ejecting a disk-shaped recording medium,

a disk guide which is rotatably provided in the proximity of said opening of said stationary frame, and which leads the insertion and ejection of said disk-shaped recording medium, and

a floating unit which is held in a floating state through elastic component in said stationary frame, and which has a function to perform recording and/or reproducing on said disk-shaped recording medium, wherein

said floating unit includes a disk-carrying member having a roller arm which rotates itself while pressing said disk-shaped recording medium onto said disk guide, so as to carry said disk-shaped recording medium to a desired position, and

said stationary frame comprises two frame sections having an upper frame and a lower frame, and wherein a projection formed on said disk guide at the rear side of said disk apparatus is engaged with a hole formed in said upper frame, so that said disk guide is rotated a predetermined angle on their engaging portion as a rotation center, relative to said upper frame at the front side of said disk apparatus.

15. (New) A disk apparatus comprising:

a stationary frame having an opening for inserting or ejecting a disk-shaped recording medium,

a disk guide which is rotatably provided in the proximity of said opening of said stationary frame, and which has a function to perform recording and/or reproducing on said disk-shaped recording medium, wherein

said floating unit includes a disk-carrying member having a roller arm which rotates itself while pressing said disk-shaped recording medium onto said disk guide, so as to carry said disk-shaped recording medium to a desired position, and

said floating unit further includes a disk carriage-driving member which comprises a motor for driving said roller arm, a transmission mechanism for transmitting

the driving power from said motor to said roller arm, a loading completion detecting means for detecting the completion of disk-loading, and a control slider for transmitting an information detected by said loading completion detecting means to said transmission mechanism.

- 16. (New) The disk apparatus as recited in Claim 15, wherein a space between said disk guide and said floating unit is used as a space for carrying said disk-shaped recording medium, and as a space for holding said floating unit in a floating state.
- 17. (New) The disk apparatus as recited in Claim 15, wherein a desirable sized space for carrying said disk-shaped recording medium is formed when said roller arm is operated to press said disk-shaped recording medium onto said disk guide while said disk-shaped recording medium onto said disk guide while said disk-shaped recording medium is being carried, and wherein a desired space for holding said floating unit in a floating state in said stationary frame is ensured, when said clamping member performs a clamping operation to clamp said disk-shaped recording medium at a recording/reproducing position during a recording/reproducing operation, after said roller arm is free from the pressing operation to said disk guide.
- 18. (New) The disk apparatus as recited in Claim 15, further comprising a locking mechanism which locks said floating unit to said stationary frame, when said disk-shaped recording medium is inserted and carried, when said disk-shaped recording

medium is carried and ejected, or when the ejection of said disk-shaped recording medium is completed.

- 19. (New) The disk apparatus as recited in Claim 15, provided with a locking mechanism which locks said floating unit to said stationary frame, when said control slider is moved according to the operating condition of said disk-shaped recording medium, and a projection formed on said control slider contacts with a projection formed on said stationary frame.
- 20. (New) The disk apparatus as recited in Claim 15, wherein said control slider is moved to unlock said floating unit and hold it in a floating state, when said disk-carrying member has carried said disk-shaped recording medium to a recording/reproducing position.
- 21. (New) The disk apparatus as recited in Claim 15, wherein the transmission mechanism for transmitting the driving power from said motor to said roller arm includes a worm and a worm wheel divided into two section along a rotation axial direction, and wherein the rotation shaft of said worm wheel is inclined to a direction orthogonal to the direction for carrying said disk-shaped recording medium.